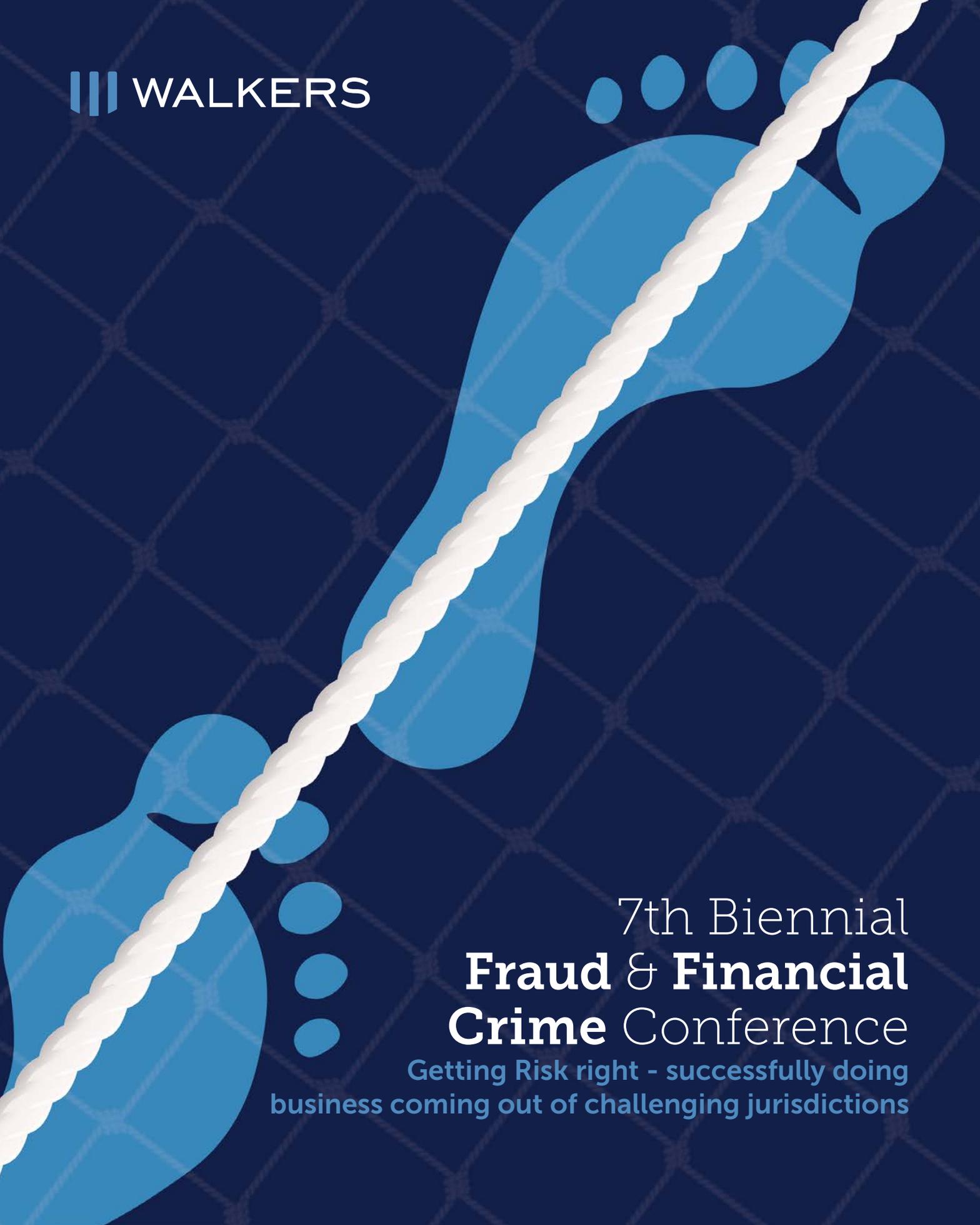




WALKERS



7th Biennial
**Fraud & Financial
Crime** Conference

Getting Risk right - successfully doing
business coming out of challenging jurisdictions



Friday, 9 November 2018

La Seigneurie Room
St Pierre Park Hotel

PROGRAMME

Welcome to this the 7th Walkers Fraud and Financial Crime Seminar.

This conference is designed to be a thought provoking and informative look at how Guernsey can successfully do business coming out of jurisdictions traditionally regarded as "*challenging*" for whatever reason.

Post Brexit Guernsey will need to do business globally, looking far beyond the comfortable markets of Europe, to maintain its position as a global finance centre.

As our key note speaker will explain there are jurisdictions from around the globe that we simply cannot ignore if we are to remain competitive in the future.

Doing this business will not be easy and our thought leading breakout sessions will enable you to explore the issues that will inevitably arise, how to deal with problems (drawing on our speakers wealth of knowledge) and how to build a resilient business relationship. Also ,importantly, how to plan for the worst from the outset so that if the relationship becomes problematic it can be resolved cost effectively and with the minimum impact on your reputation.

We are joined by Walkers' experts from around the global network and guest speakers who are also leaders in their field. The conference will be chaired by Head of IDR Advocate Alison Ozanne.

REASONS WHY YOU SHOULD ATTEND:

1. Hear from our keynote speaker about where new business opportunities are likely to arise in the post Brexit future
2. Learn from the GFSC, the Law Officers and Policy and Resources about the regulatory framework you will be expected to operate within
3. Engage in our lively break out sessions with a panel of Walkers' experts from around the globe and our highly regarded invited panellists in exploring the "life cycle of the challenging relationship" from cradle to grave
4. Hear how to obtain the banking services you need in an increasingly complex environment
5. Find out how to use investigators to get the due diligence you may require



AGENDA

08.30 REGISTRATION AND COFFEE

09.00 INTRODUCTION AND OPENING COMMENTS

Chair - Alison Ozanne

09.15 KEYNOTE SPEAKER - "Taking the future seriously, are we at our peak or are there new opportunities?"

Martyn Dorey - Dorey Financial

10.00 PANEL SESSION - "What the authorities will require when doing business coming out of a challenging jurisdiction"

1. Fiona Crocker (Director of Financial Crime)
 2. Crown Advocate Frederic Raffray (Mutual Assistance / International Co-operation)
 3. Richard Walker Policy & Resources - States of Guernsey
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10.45 COFFEE

11.15 BREAK OUT SESSIONS - "Successfully managing the life cycle of the challenging relationship from birth, through the ups and downs, to death if that becomes inevitable"

Interactive practical sessions, looking at real examples of problems and finding solutions and dealing with the end of the relationship if all else fails.

A. The Americas - North, South and Latin

1. Sarah Brehaut - Walkers / Guernsey
2. Matt Sanders - Walkers / Guernsey
3. Christophe Kalinauckas - Walkers / Jersey
4. Jack Watson - Wilberforce Chambers
5. Catja Carrell - Legal Officer / Trust Corporation International
6. Alex Adam - Deloitte / Guernsey

B. Eastern Europe, including Russia and Africa

1. Oliver Clifton - Walkers / BVI
2. Marc Seddon - Walkers / Jersey
3. Anthony Poulton - Baker Mackenzie
4. David Standish - KPMG / London
5. Sam Shires - Walkers / Guernsey

C. Middle and Far East

1. Alison Ozanne - Walkers / Guernsey
 2. Sophie Kassam - Walkers / Dubai
 3. Fraser Hern - Walkers / Jersey & Guernsey
 4. Andrew Holden - XXIV Old Buildings
 5. Jamie Toynton - Grant Thornton / Guernsey
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12.30 LUNCH

13.30 "There are three of us in this relationship" - HOW DO I GET BANKING SERVICES?

Clive Wright - Kleinwort Hambros (Channel Islands)

14.15 "How can I know the real you?" - HOW DO I INVESTIGATE DUE DILIGENCE?"

The role of the external investigator.

Thalia El Chammah - Garm Consulting

14.45 BREAK OUT SESSIONS REPEATED

16.00 CLOSING REMARKS AND CONFERENCE FINISH

Chair - Alison Ozanne

SPEAKERS

KEYNOTE



Martyn Dorey, Founder & Managing Director, Dorey Financial Modelling

Martyn is the only independent Investment Actuary in the Channel Islands. He is a Fellow of the Institute of Actuaries having worked in London for nearly 15 years, he has since relocated to Guernsey. Whilst president of the Chamber of Commerce he developed a “vision” for Guernsey and has provided economic modelling to a number of jurisdictions in order to optimise their GDP. Martyn has extensive UK industry experience, having worked for leading investment consultants Bacon & Woodrow, Aon and P-Solve. Martyn’s extensive knowledge of financial markets and instruments, coupled with his unique financial modelling skills, enables him to deliver market-leading solutions to his clients.

PANEL SESSION



Fiona Crocker, Director of Financial Crime

Fiona Crocker is the Director of the Financial Crime Division at the Guernsey Financial Services Commission, a role she was appointed to in October 2014. She joined the Commission in 1999 and has considerable experience of AML/CFT supervision of firms across the broad spectrum of financial services businesses in Guernsey including banks, insurers, investment firms and trust companies, and experience of policy development to revise the Bailiwick’s AML/CFT framework to meet the 2012 Financial Action Task Force (“FATF”) recommendations. Fiona co-led on the Commission’s contribution to the mutual evaluation of Guernsey by MONEYVAL, which resulted in an extremely positive report for Guernsey on the strength of the AML/CFT measures in place and the effectiveness of supervision. She has taken part in a mutual evaluation of a MONEYVAL member country’s AML/CFT regime and has been trained in the FATF’s methodology for assessing compliance with the FATF’s recommendations. She has an economics degree from the University of Wales and is a member of the Chartered Institute for Securities & Investment.



Crown Advocate Frederic Raffray

Frederic Raffray is a practising Barrister (England & Wales 1991) and Advocate (Guernsey 2003, Crown 2004). After spending several years in private practice at 17 Bedford Row London (common law with commercial/chancery bias) he joined St James Chambers in 2000. Since 2007 he has been responsible for mutual legal assistance and international cooperation. In this role he has undertaken significant judicial review litigation (international economic crime and corruption) including appellate work as counsel. He sits on the principal local committees on law enforcement and AML/CFT. In addition he represents Guernsey internationally on criminal cooperation and asset recovery matters. With the Guernsey Law Enforcement he sits on the Camden Asset Recovery Inter-Agency Network Steering Group. He has been involved in setting up asset recovery networks in Southern Africa and the Caribbean. He has also undertaken both technical assistance and expert roles with, and on behalf of StAR, the UNODC (under the UN Convention against Anti-Corruption) and the World Bank. He was the part of Transparency International’s group of experts advising TI’s taskforce that lead to the publication of “Empowering the UK to Recover Corrupt Assets: Unexplained Wealth Orders and other new approaches to illicit enrichment and asset recovery”.



Richard Walker, Policy and Resources, States of Guernsey

Richard Walker is Director of Financial Crime Policy at the States of Guernsey. Prior to this he held senior positions at the Guernsey Financial Services Commission, including Director of Policy and International Affairs. He has been involved with setting anti-money laundering and combatting of terrorist financing (AML/CFT) policy in Guernsey for almost twenty years. He is also active internationally, including participation in AML/CFT evaluations of other jurisdictions and training evaluators.

BREAK OUT SESSIONS

A: The Americas



Sarah Brehaut, Partner, Walkers Guernsey

Sarah is a partner in the Insolvency and Dispute Resolution Group. She has significant experience in all aspects of commercial dispute resolution including an emphasis on insolvency, trust and fund litigation. She has considerable experience of appearing in the Magistrate's Court, Royal court and Court of Appeal. Sarah was recognised in the 2017 Chambers Global and Europe Guide - (Dispute Resolution) as a Notable Practitioner.



Matt Sanders, Group Partner, Walkers Guernsey

Matt is a group partner based in Walkers' Guernsey office, where he is a member of the Corporate, Finance and Investment Funds Groups. Matt advises a range of clients on corporate and commercial matters, including investment fund establishment and regulation, financing transactions, corporate acquisitions and disposals, and commercial regulation. He specialises in resolving complex issues regarding Guernsey investment funds, including restructuring.



Christophe Kalinauckas, Senior Counsel, Walkers Jersey

Christophe Kalinauckas is a senior counsel based in the Jersey office where he is a member of the firm's Global Finance, Banking and Corporate Groups. Christophe has considerable experience acting on a variety of corporate, banking and capital markets matters. Christophe spent over 12 months in the Walkers Cayman office working on the banking and corporate finance aspects of *AHAB v Saad*, a globally significant fraud case, involving a Ponzi scheme ("one of the largest...in history") which defrauded more than a hundred banks.



Jack Watson, Barrister, Wilberforce Chambers

Jack has a busy commercial and chancery practice covering fraud, company and insolvency, trusts, breach of fiduciary duties, professional liability, as well as property-related work. Jack also has experience of acting in high value and complex fund disputes and often acts in cases involving an international dimension. Jack's recent experience includes: (a) acting as junior counsel in *AHAB v Saad*, one of the largest fraud cases ever litigated, involving a claim for around US\$9bn, a trial lasting over a year and the disclosure of over 5 million documents; (b) acting as junior counsel in *Ritchie v Lancelot* in claims at the centre of the massive US\$10 billion Petters ponzi scheme. Jack also has considerable experience acting and advising in relation to trust disputes in England, the Caribbean and the Channel Islands.



Catja Carrell - Legal Officer, Trust Corporation International

Catja is a legal officer who assists the team with the management of offshore trust and corporate structures. She is part of the US team working on US related matters, involving regular travel to the US. Catja joined from Butler Snow in London where she advised clients on US and international tax planning - individuals, trusts and estates. She also advised clients on the IRS voluntary disclosure programs, cross-border US tax and immigration issues for clients moving to and from the UK and provided tax advice to clients wishing to renounce their US citizenship. Catja is licensed to practice in Connecticut and Massachusetts.



Alex Adam, Partner, Deloitte Guernsey

Alex is the Partner leading the advisory practice in Guernsey. He has also worked with Deloitte in London and Shanghai corporate finance. His experience includes assisting clients raising money on the London markets and restructuring financially challenged businesses. Alex regularly takes appointments as administrator or liquidator of companies in Guernsey, Jersey and the Isle of Man. He also provides advice to companies on regulatory and operational matters.

BREAK OUT SESSIONS

B: Eastern Europe, including Russia and Africa



Oliver Clifton, Partner, Walkers BVI

Oliver joined Walkers' BVI office in 2006 and is a partner in the firm's Insolvency and Dispute Resolution Group. Since joining Walkers, Oliver has worked on disputes which involve the enforcement of share security, the ownership and control of BVI companies, and claims against trustees. Oliver regularly acts for clients based in the CIS, in particular Russia, Kazakhstan and Azerbaijan as well as Africa and the Middle and Far East.



Marc Seddon, Partner, Walkers Jersey

Marc Seddon joined Walkers in 2007 where he is a partner in the firm's Commercial Litigation and Dispute Resolution Group. Marc specialises in commercial litigation, including regulatory, insolvency and trust disputes.



Anthony Poulton, Partner, Baker Mackenzie

Anthony Poulton is a partner in Baker McKenzie's Dispute Resolution team in London, and chair of the market-leading Trusts Disputes group in London. Anthony focuses on advising clients engaged in disputes related to private wealth, trusts and private banking. In such disputes, he acts for trustees, executors, protectors, beneficiaries and interested third parties and is very familiar with all the major offshore jurisdictions. Anthony is recognised in the field of contentious trusts by Chambers. Similarly, Legal 500 rank him as a leading individual in the field of contentious trusts and probate.



David Standish, Partner, KPMG

David has over 25 years of transactions and restructuring experience with KPMG. He currently serves as a partner in KPMG and is head of the national contentious insolvency practice and has extensive experience working in a number of offshore jurisdictions. David has undertaken a wide range of corporate restructuring, business rescue, investigative accounting and insolvency assignments across a diverse range of sectors of industry. He has also carried out many varied joint restructuring/forensic accounting assignments, including fraud investigations, expert witness engagements, contract disputes, Court appointed receiverships and Proceeds of Crime Act assignments.



Sam Shires, Partner, Walkers Guernsey

Sam Shires is a partner based in Walkers Guernsey office, where he is head of the local Corporate and Investment Funds Groups. Sam advises a wide range of clients on all aspects of corporate law, including investment funds. His investment fund experience includes advising promoters, managers, administrators and custodians in relation to the establishment, operation and regulation of open and closed-ended investment funds. His general corporate law experience includes corporate transaction work and financing transaction work.

BREAK OUT SESSIONS

C: Middle and Far East



Alison Ozanne, Partner, Walkers Guernsey

Alison is a partner and head of the Guernsey Dispute Resolution team. Alison has considerable experience in relation to trust, banking, insolvency and insurance litigation, as well as general corporate disputes. She appears regularly in front of the Royal Court and Court of Appeal. Alison is a regular speaker at conferences in Guernsey, the UK and internationally, particularly in relation to trust litigation, corporate governance and AML issues. Alison was recently recognised by Legal 500 in their newly instigated "Hall of Fame", the only female litigator across the Channel Isles to be so recognised. She has also been recognised in Legal Week's 2018 Global Private Client Elite and Citywealth's 2018 IFC Powerwomen Top 200.



Sophie Kassam, Senior Counsel, Walkers Dubai

Sophie is a senior counsel in the Insolvency and Dispute Resolution group advising on Cayman Islands and British Virgin Islands laws. Sophie's areas of practice include shareholder and limited partnership disputes, unfair prejudice proceedings, just and equitable winding up proceedings, derivative actions, applications for disclosure of information, ex-parte applications for urgent interim relief including freezing injunctions, rectification applications, applications to recognise and enforce foreign judgments and arbitral awards, trust disputes and fraud and asset tracing claims.



Fraser Hern, Group Partner, Walkers Channel Islands

Fraser joined Walkers in 2009 where he specialises in all forms of dispute resolution (including shareholder and general corporate disputes), complex restructurings, contentious and non-contentious insolvencies and distressed situations, advising minority shareholders, trustees, stakeholders, creditors, debtors, private equity and hedge funds, and insolvency practitioners on a wide range of litigation and insolvency related matters. Fraser is widely regarded as one of the leading offshore lawyers in his field, having been consistently ranked as a Tier 1 advisor by Chambers Asia-Pacific for a number of years. Fraser worked in Walkers' Hong Kong office for nine years before moving to the Channel Islands in July 2018.



Andrew Holden, Barrister, XXIV Old Buildings

Andrew is a barrister practising from XXIV Old Buildings, Lincoln's Inn, London. Andrew enjoys a busy commercial chancery practice. He has extensive experience acting in complex international commercial disputes, both in the UK and in other courts and tribunals, and which includes fraud, asset tracing, and enforcement work. He also maintains a thriving trusts practice. Chambers UK Bar 2018 describes Andrew as "incredibly bright and reassuring for clients", and as "imaginative, tenacious and clever with "a lovely crystalline mind...A wonderful junior who is very good on his feet".



Jamie Toynton, Director, Grant Thornton

Jamie is a Director and is a Certified Accountant and UK Licensed Insolvency Practitioner, specialising in Corporate Insolvency and Recovery. His experience gained in fiduciary, commercial and private practice sectors is drawn upon in the varied demands of insolvency work. His varied appointments as liquidator in the financial sector include investment funds, hedge funds, trust companies, banks, insurance companies and captives.

“There are three of us in this relationship”
HOW DO I GET BANKING SERVICES?



Clive Wright, Head of Private Banking Channel Islands, Kleinwort Hambros

Clive T Wright is a Director of SG Kleinwort Hambros (CI) Limited and Head of Private Banking for the Channel Islands. Prior to joining SGPB Hambros in April 2015, Clive was Head of Private Banking for Kleinwort Benson and previously Deutsche Bank International in the Channel Islands, where he lived and worked between the Channel Islands and Switzerland, with responsibility for private banking businesses in the Channel Islands, Turkey, Israel and Africa.

Clive has a 30 year career in finance, initially in the UK with a leading High Street Bank with a focus on credit, trade finance and international banking. He subsequently moved out of the UK in 1994, establishing and leading international private banking teams, focused on key growth markets in Europe, Sub-Saharan Africa, North Africa, Middle East, Turkey and Israel.

Clive has particular expertise in delivering strategic solutions with emerging market clients and working with single and multiple family offices. He holds diploma's in Business Studies and in Personal Financial Services.

“How can I know the real you?”
HOW DO I INVESTIGATE DUE DILIGENCE?



Thalia El Chammah, CEO, Garm Limited

Thalia is a specialist in in-depth business intelligence. As founder and CEO of Garm she consults with a diverse set of clients including private sector companies, financial institutions, governments and high-net-worth individuals. Her expertise and experience includes business risk management in EMEA and Russia/CIS countries. Thalia started her career in London at K2 Intelligence where she conducted fraud and corruption investigations and carried out due diligence and asset tracing in the Gulf and MENA regions. Prior to founding Garm Thalia was a partner at another London based intelligence provider where she established the French and Middle-East practice and led the Africa team.

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La Seigneurie Room, St Pierre Park Hotel

BOOKING + CONTACT DETAILS

Conference price £150

REGISTER

To register please email valerie.weeks@walkersglobal.com

SPECIAL ARRANGEMENTS

If you have a disability and require special arrangements, please let us know at the time of registering.

ATTENDANCE CERTIFICATE

Available on request after the event. If you would like a certificate please email valerie.weeks@walkersglobal.com

Walkers (Guernsey) LLP
12-14 New Street, St Peter Port, Guernsey
Channel Islands GY1 2PF
T +44 (0) 1481 723723. F +44 (0) 1481 723023
E info@walkersglobal.com
www.walkersglobal.com



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Walkers (Guernsey) LLP
12-14 New Street, St Peter Port, Guernsey
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