

LEI ROC

[About the ROC](#) |
 [The LEI](#) |
 [FAQ](#) |
 [ROC standards and publications](#)
[How to obtain an LEI](#) |
 [Global LEI Foundation](#) |
 [LEI uses](#)

Home The LEI How to obtain an LEI

How to obtain an LEI

LEIs are issued by "Local Operating Units" (LOUs) of the Global LEI System.

The combined list of LOUs endorsed by the Regulatory Oversight Committee (ROC) and of LOUs accredited by the Global LEI Foundation, can be found on the GLEIF website: <https://www.gleif.org/en/about-lei/how-to-get-an-lei-find-lei-issuing-organizations>.

Some of these registries serve a given country while others offer services to entities worldwide. They may be differences as well in the languages available, facilities to register many entities in bulk, and price, among others. We invite you to check the websites of these registries to find the one that best suits your needs.

These websites also provide information on the process for obtaining an LEI, which complies with general principles set by the ROC, in particular:

- **Self-registration:** Only an entity eligible to receive an LEI or its authorized representative may obtain a LEI code. The permission of the LEI registrant to perform an LEI registration on its behalf by a third party is considered to satisfy the requirements of self-registration only if the registrant has provided explicit permission for such a registration to be performed.
- The LOU will collect a **minimum set of reference data** on the entity (e.g. name of the entity and address; see About LEIs for a comprehensive list).
- This reference data has to be **confirmed** or certified by the entity seeking an LEI. Entities are requested to periodically verify the continued accuracy of their reference data (eg, at least through yearly certification)
- The LOU is **required to check each entry against reliable sources** (public official sources such as a business registry, private legal documents) prior to publishing the LEI and associated reference data. This explains a delay between the request for an LEI and the publication of the LEI.
- LOUs generally charge a **fee** for issuing the LEI as well as for validating the reference data upon issuance and after each yearly certification.

LEIs issued by pre-LOUs that have been endorsed by the ROC or accredited by the Global LEI Foundation are accepted by ROC authorities requiring the use of a common identifier (see [LEI Uses](#)). From **7 October 2015**, new institutions that wish to become LEI issuers need to be accredited by the GLEIF, which will monitor their compliance with the standards of the Global LEI System. Pre-LOUs endorsed by the ROC will also have to be accredited by the GLEIF, as part of the ongoing monitoring of compliance with the standards of the system. Pre-LOUs endorsed by the ROC will continue to be able to issue and maintain LEIs even before they are accredited by the GLEIF, until end-2017.

The list of LOUs endorsed by the ROC can be found below and under this link: http://www.leiroc.org/publications/gls/lou_20131003_2.pdf but **you are invited to check the combined list published by the GLEIF, as LOUs accredited by the GLEIF do not appear here.**

Date of endorsement	Pre-LOU	Sponsor	Pre-LOU website
3 October 2013	WM Datenservice	Bundesanstalt für Finanzdienstleistungsaufsicht	https://www.wm-leiportal.org/?lang=en
3 October 2013	Institut National de la Statistique et des Etudes Economiques	French Ministry for Economy and Finance	https://lei-france.insee.fr
3 October 2013	CICI utility[1]	U.S. Commodity Futures Trading Commission	https://www.ciciutility.org https://www.gmeiutility.org/
11 November 2013	Takasbank	Capital Markets Board of Turkey	http://www.takasbank.com.tr/en/Pages/LEI.aspx
11 November 2013	London Stock Exchange	UK Financial Conduct Authority	http://www.lseg.com/LEI

7 December 2013	Irish Stock Exchange	Central Bank of Ireland	https://www.isedirect.ie
27 December 2013	Russia National Settlement Depository (NSD)	Central Bank of Russian Federation	https://www.nsd.ru/en/services/lei
27 December 2013	Poland Krajowy Depozyt Papierów Wartościowych S.A. (KDPW)	Polish Financial Supervisory Authority	http://www.kdpw.pl/en/business/LEI/Pages/default.aspx
7 January 2014	Dutch Chamber of Commerce (KvK)	Netherlands Authority for the Financial Markets	http://www.kvk.nl/english/how-to-register-deregister-and-report-changes/legal-entity-identifier-lei/
7 January 2014	National Board of Patents and Registration of Finland (PRH)	Financial Supervisory Authority, Finland	http://www.prh.fi/en/uutislistaus/2013/P_1048.html
6 February 2014	Centrální depozitář cenných papírů, a.s.	Czech National Bank	http://www.centraldepository.cz/index.php/en/lei-pre-legal-entity-identifier
7 February 2014	Unione Italiana per le Camere di Commercio, Industria, Artigianato e Agricoltura	Banca d'Italia and Commissione Nazionale per le Società e la Borsa	https://lei-italy.infocamere.it/leii/Home.action
5 March 2014	Registro Mercantil del Reino de España	Banco de España	https://www.justicia.lei.registradores.org/
21 May 2014	Centrálny depozitár cenných papierov SR, a.s. (Central Securities Depository: Slovakia)	National Bank of Slovakia	https://www.cdcp.sk/english/lei/lei_general.php
21 May 2014	Bundesanzeiger Verlag GmbH (Bundesanzeiger)	Bundesanstalt für Finanzdienstleistungsaufsicht	https://www.ceireg.de/banzlei/cust
6 June 2014	Brønnøysund Register Centre	Royal Norwegian Ministry of Trade, Industry and Fisheries	http://www.glei.no/
22 July 2014	Japan Exchange Group/Tokyo Stock Exchange (JPX/TSE)	Financial Services Agency of Japan (JFSA)	https://www.jpjx.co.jp/lei/en/index.html
10 August 2014	Central Securities Clearing System Plc of Nigeria	Nigerian Securities and Exchange Commission	https://www.cscsnigeriaplc.com/home/legalentity1
26 August 2014	LuxCSD	Banque Centrale du Luxembourg	http://www.luxcsd.com/luxcsd-en/
9 October 2014	Korea Securities Depository (KSD)	Korea Financial Services Commission	https://lei-k.com/websquare/control.jsp?w2xPath=/LEI/eng.xml
20 October 2014	China Financial Standardization Technical Committee (CFSTC)	People's Bank of China	www.leichina.org
28 January 2015	Registro de Identificación de Entidades del BCRA (Central Bank of Argentina pre-LOU)	Central Bank of Argentina	www.bcra.gov.ar Direct link: http://200.70.35.103/weblei/
5 March 2015	Central Depository & Settlement Co Ltd (CDS), Mauritius	Financial Services Commission, Mauritius	http://www.stockexchangeofmauritius.com/cds-lei
22 April 2015		Reserve Bank of India	https://www.ccilindia-lei.co.in/

	Clearing Corporation of India Limited (CCIL) [2]		
22 April 2015	Zagreb Stock Exchange (ZSE)	Croatian Financial Services Supervisory Agency	http://lei.zse.hr/
20 July 2015	Saudi Arabian Credit Bureau (SACB/MOA'RIF)	Saudi Arabian Monetary Agency	https://www.leiarabia.com
3 September 2015	APIR Systems Limited (APIR)	Australian Securities and Investments Commission (ASIC)	http://lei.apir.com.au/
18 December 2015	Strate (Pty) Ltd (Strate)	Financial Services Board, South Africa	http://lei-strate.co.za/LegalEntityIdentifier/
20 January 2016	Central Securities Clearing Corporation (Centralna klirinško depotna družba d.d.- KDD), Slovenia	Bank of Slovenia	Slovenian: https://storitve.kdd.si/lei/ English: https://storitve.kdd.si/lei/en/index
10 February 2016	Instituto de Registos e Notariado (IRN)	Banco de Portugal	www.irm.mj.pt

[1] Now GMEI Utility. A set of approximately 3,000 codes that are not certified but that have been used for CFTC reporting purposes (*Uncertified Used CICs*, identified as 'NOT CURRENT' in the CICI Utility database); these codes are not globally recognized.

[2] LEI activities are now conducted by Legal Entity Identifier India Limited (LEIL), a wholly Owned Subsidiary of The Clearing Corporation of India Ltd. LEIL was accredited by GLEIF

Portability of LEIs

An entity may "port" the maintenance of its LEI from one LOU to another. To do so, the authorised representative of the entity should contact the new LOU, specifying its already existing LEI number and providing any other document required by the new LOU. The new LOU will contact the original LOU. The LEI remains unchanged in the process. The original pre-LOU should not charge any porting fee.

What are the LOUs?

LOUs are the utilities endorsed by the ROC, or accredited by the GLEIF under ROC oversight, to provide LEI registrations to registrants and other services. The expression "pre-LOUs" is used to specifically refer to the LOUs endorsed by the ROC before the Global LEI Foundation was able to assume this function. To this end, the ROC had defined a set of [principles](#) to be observed by pre-LOUs.

LOUs make the LEIs and associated reference data available to the public and regulators free of charge and on a continuous basis.

From 7 October 2015, new institutions that wish to become LEI issuers need to be accredited by the GLEIF, which will monitor their compliance with the standards of the Global LEI System. Applications should be made to the GLEIF: those interested will find on the GLEIF website a description of the [accreditation process](#). Applicants do not need any more to be sponsored by a ROC member, although ROC members will be informed of applications from applicants within their jurisdictions.

LOUs have a variety of status and may be part of an entity conducting other activities such as business registries, stock exchanges, trade repositories, custodians of financial instruments, and numbering agencies issuing International Securities Identification Numbers.